

In compliance with SEBI Circular "SEBI/HO/DDHS-PoD3/P/CIR/2024/46" dated 16th May, 2024

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Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Intermediaries) Regulations, 2008

Data of complaints for the month ending 31st October, 2024

| S. No. | Received from                 | Carried forward from previous month | Received during the month | Total pending # | Resolved * | Pending at the end of month**  |                                | Average Resolution time ^ (in days) |
|--------|-------------------------------|-------------------------------------|---------------------------|-----------------|------------|--------------------------------|--------------------------------|-------------------------------------|
|        |                               |                                     |                           |                 |            | Pending for less than 3 months | Pending for more than 3 months |                                     |
| 1      | Directly from Investors       | 0                                   | 0                         | 0               | 0          | 0                              | 0                              | 0                                   |
| 2      | SEBI (SCORES)                 | 0                                   | 0                         | 0               | 0          | 0                              | 0                              | 0                                   |
| 3      | Stock Exchanges (if relevant) | 0                                   | 0                         | 0               | 0          | 0                              | 0                              | 0                                   |
| 4      | Other Sources (if any)        | 0                                   | 0                         | 0               | 0          | 0                              | 0                              | 0                                   |
| 5      | <b>GRAND TOTAL</b>            | <b>0</b>                            | <b>0</b>                  | <b>0</b>        | <b>0</b>   | <b>0</b>                       | <b>0</b>                       | <b>0</b>                            |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

Month – wise complaints data on half yearly basis:

| SN | Month              | Carried forward from previous month | Received | Resolved | Pending  |
|----|--------------------|-------------------------------------|----------|----------|----------|
| 1  | May, 2024          | 0                                   | 1        | 0        | 1        |
| 2  | June, 2024         | 1                                   | 0        | 1        | 0        |
| 3  | July, 2024         | 0                                   | 0        | 0        | 0        |
| 4  | August, 2024       | 0                                   | 0        | 0        | 0        |
| 5  | September, 2024    | 0                                   | 0        | 0        | 0        |
| 6  | October, 2024      | 0                                   | 0        | 0        | 0        |
|    | <b>Grand Total</b> | <b>1</b>                            | <b>0</b> | <b>1</b> | <b>0</b> |

Trend of annual (Financial Year) disposal of complaints  
(for 5 years on rolling basis)

| SN | Year               | Carried forward from previous year | Received | Resolved | Pending  |
|----|--------------------|------------------------------------|----------|----------|----------|
| 1  | 2020-21            | -                                  | -        | -        | -        |
| 2  | 2021-22            | -                                  | 3        | 3        | 0        |
| 3  | 2022-23            | -                                  | 2        | 2        | 0        |
| 4  | 2023-24            | -                                  | -        | -        | -        |
| 5  | 2024-25            | -                                  | 1        | 1        | 0        |
|    | <b>Grand Total</b> |                                    | <b>6</b> | <b>6</b> | <b>0</b> |

**Note:** 1 (one) complaint received on 30th May, 2024 pertains to Unlisted NCD and the ATR for the same has been filed on the same day, further the Date of Closure of complaint was 14th June, 2024.